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**ANNUAL AUDITED REPORT
FORM X-17A-5
PART III**

SEC FILE NUMBER
8-1616167

SECURITIES AND EXCHANGE COMMISSION
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Information Required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

BRANCH OF REGISTRATIONS
EXAMINATIONS
05

REPORT FOR THE PERIOD BEGINNING 01/01/06 AND ENDING 12/31/06
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: RAINMAKER SECURITIES, LLC

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

200 S. MICHIGAN AVENUE, SUITE 2100

(No. and Street)

CHICAGO

(City)

IL

(State)

60604

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

RICHARD F. BESTON

312-896-8290

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

WILLIAM A. BRONEC, LTD.

(Name - if individual, state last, first, middle name)

241 LAWTON ROAD

(Address)

RIVERSIDE

(City)

IL

(State)

60546

(Zip Code)

CHECK ONE:

☒ Certified Public Accountant

☐ Public Accountant

☐ Accountant not resident in United States or any of its possessions

PROCESSED

FEB 25 2008

THOMSON
FINANCIAL

SECURITIES & EXCHANGE COMMISSION
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FEB 28 2007

MIDWEST REGIONAL OFFICE

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2).

SEC 1410 (06-02)

Potential persons who are to respond to the collection of
information contained in this form are not required to respond
unless the form displays a currently valid OMB control number.

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OATH OR AFFIRMATION

I, RICHARD F. BESTON, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of RAINMAKER SECURITIES, LLC, as of DECEMBER 31, 2006, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

NONE

Richard F. Beston Jr.
Signature

President
Title

[Signature]
Notary Public

Official Seal
Kelly Andrews
Notary Public State of Illinois
My Commission Expires 07/19/2009

This report ** contains (check all applicable boxes):

- ☒ (a) Facing Page.
- ☒ (b) Statement of Financial Condition.
- ☒ (c) Statement of Income (Loss).
- ☒ (d) Statement of Changes in Financial Condition.
- ☒ (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- ☒ (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- ☒ (g) Computation of Net Capital.
- ☒ (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- ☒ (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- ☐ (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- ☐ (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- ☒ (l) An Oath or Affirmation.
- ☐ (m) A copy of the SIPC Supplemental Report.
- ☐ (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

****For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).**

SECURITIES & EXCHANGE COMMISSION
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**STATEMENT OF FINANCIAL CONDITION
PURSUANT TO SEC RULE 17a-5(d)
RAINMAKER SECURITIES, LLC
As of December 31, 2006
AVAILABLE FOR PUBLIC INSPECTION**

***William A Bronec, LTD.
Certified Public Accountant
241 Lawton Road
Riverside, Illinois 60546
Phone: 708-442-8092
Fax: 708-442-6521***

E-Mail: wbrocpa@netscape.net

RAINMAKER SECURITIES, LLC
2006 FINANCIAL STATEMENTS
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William A Bronec, LTD.
Certified Public Accountant
241 Lawton Road
Riverside, Illinois 60546
Phone: 708-442-8092
Fax: 708-442-6521

E-Mail: wbrocpa@netscape.net

INDEPENDENT AUDITOR'S REPORT

**To the Members of
Rainmaker Securities, LLC**

We have audited the accompanying balance sheet of Rainmaker Securities, LLC (an Illinois LLC) as of December 31, 2006, that we are filing pursuant to Rule 17a-5 under the Securities Exchange Act of 1934, the related statement of income, changes in members' equity and cash flows for the year then ended.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, the financial position of Rainmaker Securities, LLC, as of December 31, 2006, and the statement of income, retained earnings and changes in cash flows for the year then ended, in conformity with generally accepted accounting principles.



William A. Bronec, LTD.

February 22, 2007

RAINMAKER SECURITIES, LLC
BALANCE SHEET
AS OF DECEMBER 31, 2006

EXHIBIT A

ASSETS

FIXED ASSETS:

Furniture & Fixtures	\$ 6,600
(Less) Accumulated Depreciation	<u>(943)</u>
Total Fixed Assets	<u>\$ 5,657</u>

OTHER ASSETS

Security Deposit	<u>3,000</u>
Total Other Assets	<u>3,000</u>
Total Assets	<u>\$ 8,657</u>

LIABILITIES AND MEMBERS' EQUITY

CURRENT LIABILITIES

Bank Overdraft	<u>1,607</u>
Total Current Liabilities	<u>\$ 1,607</u>

MEMBERS' EQUITY

Members' Equity	<u>7,050</u>
TOTAL LIABILITIES AND MEMBERS' EQUITY	<u>\$ 8,657</u>

RAINMAKER SECURITIES, LLC
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2006

NOTE A – GENERAL

Nature of Business

The Company is a broker-dealer, registered with the Securities and Exchange Commission. It is also a member of the National Association of Securities Dealers. The Company engages in private placements for which it receives fees in various forms.

Summary of Significant Accounting Policies

Revenue Recognition

Commission income and related expenses are recorded on the accrual basis.

Income Taxes

There is no provision for income taxes because the Company is a Limited Liability Corporation (LLC). All of the LLC net profit or net loss flows-through to each member's personal tax return.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

Statement of Cash Flows

For purposes of the statement of cash flows, the Company considers all short-term debt securities with a maturity of three months or less to be cash equivalents.

RAINMAKER SECURITIES, LLC
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2006

NOTE B – NET CAPITAL REQUIREMENTS

The company is subject to the Securities and Exchange Commission Uniform Net Capital Rule (Rule 15c3-1). Under this rule, the Company is required to maintain "net capital" equal to the greater of \$5,000 or 6 2/3% of aggregated indebtedness, as defined.

As of December 31, 2006, the Company was below the capital requirement. Upon discovering the under capital situation, the NASD was immediately notified and on January 9, 2007, the situation was immediately remedied.

No business was conducted while the firm was under capitalized.